# **Computer-Checked Recurrence Extraction** for Functional Programs **Bowornmet Hudson, Daniel R. Licata** Wesleyan University

#### Introduction

Time complexity analysis for a recursive program can be broken down into two steps:

- 1. Extracting a recurrence relation that describes the running time in terms of the size of its input
- 2. Reducing the recurrence to obtain a closed form and asymptotic bound on the running time

This can be an arduous process, as it is usually computed by hand; this is especially true for large, elaborate pieces of code. The overall aim of this project is to develop a formal system for automated complexity analysis in Agda, a dependently-typed programming language and proof assistant.

#### **Thesis Statement:**

It is possible to extract and formally reason about time complexity properties of functional programs using proof assistants.

#### **Our Approach**

- Following [1], we extract recurrences from source programs using a monadic translation || · || into a complexity language.
- The complexity language is equipped with an abstract preorder judgement  $\_\le S_-$  which specifies ordering on terms and allows us to manipulate recurrences into closed forms, from which we can deduce asymptotic bounds on the running time of the original source program.
- •We implement and prove properties about our system in a proof assistant, Agda, to ensure the

correctness of the language specifications and cost information extracted by our system.



#### Source Language

data Tp : Set where unit : Tp nat : Tp susp :  $Tp \rightarrow Tp$  $\_->s_-: Tp \rightarrow Tp \rightarrow Tp$  $_{-} \times s_{-}$ : Tp  $\rightarrow$  Tp  $\rightarrow$  Tp list :  $Tp \rightarrow Tp$ bool : Tp

### **Complexity Language**

The complexity language is designed to be a setting where we can reason about program costs directly without needing to appeal to a denotational semantics. The abstract cost measures specified by the source language operational semantics are interpreted in the complexity language as cost values of type C.

#### Translation

The translation function  $|| \cdot ||$  from source to complexity is the main mechanism for recurrence extraction in our system. The translation of a source



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term e :  $\tau$  returns a cost-potential pair of type  $C \times \langle \langle \tau \rangle \rangle$ , where the potential captures the notion of the upper bound on the size of a term. We prove that the results obtained by the translation are an upper bound on the costs specified by the source language operational semantics.

## **Denotational Semantics**

We interpret the types and terms of the complexity language as preorders and monotone functions between preorders.

record Preorder-str (A : Set) : Set1 where constructor preorder field

 $_{-} \leq _{-}$ : A  $\rightarrow$  A  $\rightarrow$  Set refl :  $\forall \mathbf{x} \rightarrow \mathbf{x} \leq \mathbf{x}$ trans :  $\forall x y z \rightarrow x \leq y \rightarrow y \leq z \rightarrow x \leq z$ 

#### **Future Work**

of source programs.

#### References

[1] Norman Danner, Jennifer Paykin, and James S. Royer. A static cost analysis for a higher-order language. In Proceedings of the 7th Workshop on Programming Languages Meets Program Verification, PLPV 13, pages 2534, New York, NY, USA, 2013. ACM.



#### 1. Study methods for solving complexity language recurrences into closed forms, from which we can deduce an asymptotic bound on the running time